

Political influences on greenhouse gas emissions from US states

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Starting at least in the 1970s, empirical work suggested that demographic (population) and economic (affluence) forces are the key drivers of anthropogenic stress on the environment. We evaluate the extent to which politics attenuates the effects of economic and demographic factors on environmental outcomes by examining variation in CO₂ emissions across US states and within states over time. We find that demographic and economic forces can in part be offset by politics supportive of the environment—increases in emissions over time are lower in states that elect legislators with strong environmental records.

greenhouse gas emissions | human drivers | environmentalism | STIRPAT | multi-level models

What drives human impacts on the environment? Why do geopolitical units such as nation-states or the states and provinces within them differ in the stress they place on the environment? From the initial scientific debates on these questions to the most recent reviews, economic and demographic factors are identified as the dominant driving forces of environmental impact (1–4). Even as the emissions scenarios and representative emissions pathways that drive climate models have become more sophisticated, population and affluence are still at their core (5). However, a diverse set of theories and supporting empirical evidence suggest that the effects of economic activity and population size might be mitigated by other factors. These arguments, including ecological modernization, treadmill of production, environmental Kuznets curve, world systems, neo-structuralism, and commons management theories, are complex and subtle (6–11). However, the importance of politics is a common theme in each of them, as well as the focus of a substantial literature in its own right. Some approaches focus on how institutions, including laws and treaties, shape the actions of individuals, organizations and nations (9, 12–15). Others emphasize the dynamics of political power (6–8, 16–20). As Shwom argues, who has power influences what policies, programs, and institutions are in place to moderate or exacerbate how human actions influence the environment—political factors are part of what are commonly called the driving forces of environmental change (21).

We use greenhouse gas emissions of US states to examine the potential moderating role of politics on the more frequently examined drivers of environmental stress. We make this choice for several reasons. First, greenhouse gas emissions are a critical environmental stressor that influences the climate—a global commons. Explaining variation in emissions is thus an appropriate challenge for a theory of anthropogenic environmental change. Second, in the absence of strong US national policy on climate change, states have varied substantially in the actions they have taken to limit emissions, potentially reflecting differences in the distribution of political power and political ideology (22). Third, the concepts we wish to address can be operationalized with well measured variables available for all 50 states over a reasonable span of time.

What Drives Human Stress on the Environment?

The quantitative literature examining the human driving forces of environmental stress is consistent in the finding that, at least at the level of geopolitical units such as states, provinces, or nation-states, the size of the human population and its level of affluence, usually measured as gross domestic product per capita (GDPPC), are dominant influences (2, 4, 23, 24). Taken together, GDPPC and population compose the scale of the economy. Of course, the impacts of the scale of economic activity, and in particular of economic growth, could be ameliorated by changes in the composition of consumption and in the mix of technologies used.

Our hypothesis is that the extent to which scale is moderated by the composition of consumption and the technology of production will depend on politics. The importance of politics is one of the basic ideas that emerge from the debates about human drivers of environmental stress in the social science literature. In particular, Shwom argues that when the environmental movement is influential and its goals are widely accepted, environmental reforms are possible and thus we would expect some mitigation of the effects of scale. However, when the environmental movement is not influential and its goals are not widely accepted, little amelioration of environmental stress can be expected (21).

The US environmental movement is diverse and has evolved substantially over its long history. Different elements of the contemporary movement focus on different environmental issues (25, 26). However, nearly all environmentalists express deep concern about climate change and urge reduction in greenhouse gas emissions through shifts in policy, shifts in the composition of

Significance

Population and affluence have long been seen as major drivers of environmental stress. A substantial empirical literature now substantiates their role in anthropogenic environmental change. Using data on greenhouse gas emission from US states, we show that the effects of population and affluence can be substantially moderated by political factors and in particular support for environmentalism. Our results indicate the potential of politics to ameliorate the effects of the scale of economic activity on the environment and our methods provide a vehicle for testing the influence of diverse hypothesized human drivers of environmental change.

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consumption, and shifts in the technologies of production. Thus, we would expect that environmentalism—the strength of the environmental movement and the acceptance of its goals on the part of the public and elites—to be the major counterbalance to the political forces opposed to considering environmental costs of greenhouse gas emissions.

It may seem obvious that a strong and well-accepted environmental movement will lead to a reduction in human impacts on the environment and in particular to a reduction in greenhouse gas emissions. However, some recent commentators have been skeptical of the effectiveness of the environmental movement especially with regard to climate change (27, 28). They point to the failure of a coalition of major environmental organizations in promoting a national “cap and trade” policy to reduce US greenhouse gas emissions. However, efforts to mitigate emissions take a variety of forms at the state and local level and may have substantial impact even in the absence of a unified national policy (22). The details of how these politics play out will vary over time and across contexts. New laws can be enacted that regulate or subsidize technologies, existing regulations can be applied strictly or less stringently, and programs can be pursued enthusiastically or given a low priority. Even without formal policy and programs, the importance of reducing emissions can be widely accepted by individuals and organizations and result in actions that have substantial impact (29). Our approach here is not to examine the details of the policies adopted and their implementation, nor to examine in detail the behavior of households and organizations. Rather we measure the “total” effects of power and ideology, acting both directly and indirectly through policies and behavioral change. If arguments of Shwom and others who emphasize politics are correct, we anticipate that the distribution of political power in a state will have an impact on greenhouse gas emissions net of the primary demographic and economic drivers of population and affluence. Thus, we are proposing that ideology and the distribution of power, and in particular the strength of the environmental movement and acceptance of its goals, will have an effect on the biophysical environment.

Driving Greenhouse Gas Emissions

We assess the drivers of environmental impact using the Stochastic Impacts by Regression on Population, Affluence, and Technology (STIRPAT) model, a widely used approach to examining the human drivers of environmental change (30, 31). Models of emissions and other environmental stresses commonly include both population size and per capita affluence in a multiplicative functional form along with variables that might moderate the effects of these two drivers as predictors of stress placed on the environment (30–32). Following this approach, we model state greenhouse gas emissions as a multiplicative (additive in logs) function of the state’s population and affluence per capita. Thus, our fundamental model for state s at time t is

$$E_{st} = aP_{st}^b A_{st}^c \dots e_{st}. \quad [1]$$

That is, greenhouse gas emissions (E) for a particular state for a particular year are the product of the size of the human population (P), the per capita affluence (A), a constant term a that scales the relationship, a variety of other potentially moderating factors we will discuss below, and an error term that is state and time period specific. This functional form is the well-known IPAT (impacts = population \times affluence \times technology) or Kaya identity modified to allow for statistical estimation (30, 33–35). In the models estimated below, following standard practice we include a quadratic term in the log of affluence to capture the potential ameliorating effects of high levels of affluence, a pattern suggested by several theories of the dynamics of environmental stress, in particular ecological modernization and environmental

Kuznets theories (6, 10, 11). The approach for considering both within and across state variation is discussed in *Materials and Methods*.

We added two variables to this well-established model. One is environmentalism as captured by the environmental voting record of the state’s Congressional delegation. We use the term environmentalism to indicate the degree to which the environmental movement’s goals are accepted by the public and by elites and thus are likely reflected in the politics of a state. Our use of legislative behavior is consistent with the most common approaches to assessing the political ideology of a state, described in *Materials and Methods*. This indicator captures Shwom’s argument that when the environmental movement is strong, the effects of population and affluence on the environment will be moderated, but when the environmental movement is weak, there will be no moderation of the effects of overall economic activity ($P \times A$).

We also included the rate of employment in our model. Although GDPPC, and its state level analog, gross state product per capita (GSPPC), are the most commonly used measures of affluence in work assessing drivers, we explore the level of employment as an alternative. Because gross state product is defined in terms of financial transactions, it may capture many activities that have minimal direct environmental impact. To allow for this possibility, several cross-national studies have predicted emissions using the proportion of the economy in the service sector, although generally these effects have been small and not statistically significant (2). The proportion of the labor force actively working may be a better indicator than the size of the service industry for capturing economic activity that is environmentally consequential both because labor intensive industries may be more polluting and because employment leads to increased consumption, including commuting, and with it increased emissions net of affluence. We also note that for several decades, the argument that environmental reforms harm the economy and in particular cost jobs, has frequently been deployed in opposition to environmental, and in particular climate, policy. To the extent that this argument has political traction, then high employment might reduce barriers to environmental reforms, an effect opposite in sign to what we would expect if high employment leads to increased consumption and thus increased demand on the environment.

We consider two conceptualizations of the relationship between greenhouse gases and the independent variables (population, gross state product per capita, environmentalism, employment). First, it may be that states that have larger populations, higher GSPPC, weaker and less broadly accepted environmental movements, and higher employment have higher greenhouse gas emissions. Effects of state-level cross-sectional characteristics such as population would reflect an accumulation of the effects of the independent variables over time and would suggest comparison across states. Alternatively, one might argue that as a given state experiences short-term changes in population, GSPPC, environmentalism, and employment, its greenhouse gas emissions will also change. Effects of short-term changes within the state would suggest a comparison of conditions within each state over time.

The two approaches described above reflect different conceptions of the counterfactual—what would have happened under other circumstances—bases for making causal inferences. In the first case of comparison across states, a given state is compared with concurrent others that are similar on the characteristics in the model. In the second case, a given state is compared with itself in earlier or later years. Because both the inter- and intrastate comparisons are reasonable counterfactuals, we estimate a multilevel model consisting of time points t nested within states s . The within state model of time points (level 1) allows us to evaluate whether changes in a state’s conditions increase or decrease emissions (we also include a time trend variable in this

there is some factor that is responsible for changes in the independent and dependent variables. For example, a given state might experience a change in the mix of industries in the economy that would be responsible both for a reduction in pro-environmental dispositions and an increase in greenhouse gas emissions. Similarly, between states, one state may have weaker environmental dispositions and greater greenhouse gas emissions than another precisely because of the historic presence of some industries rather than others.

In each of the examples above, an inference regarding the effect of an independent variable (e.g., environmentalism) on the outcome (greenhouse gas emissions) could be invalid because of a variable omitted from the analysis (e.g., industrial composition). One response is to identify all such possible variables and include them in the model, as we have attempted to do here. However, there may still be concerns about possible omitted variables. In response to the problem of omitted variables, we quantify the robustness of our inferences to the impact of an omitted variable. Frank defines the impact of a confounding variable on an estimated regression coefficient as $\text{impact} = r_{k \bullet y} \times r_{k \bullet x}$, where $r_{k \bullet y}$ is the correlation between a confounder, k , and the outcome, y , and $r_{k \bullet x}$ is the correlation between k and x , a predictor of interest—environmentalism (45). Then, for a given threshold for inference, $r^\#$ (such as statistical significance), it is possible to calculate how large the impact of an omitted variable must be to invalidate an inference (although extensions of this logic to multilevel models can be complicated, they are simplified in the case of balanced data, as we have here, with equal numbers of observations per state) (45–47). In our analysis, an omitted variable would have to have an impact of -0.334 to invalidate the inference of an effect of environmentalism on greenhouse gas emissions across states (the level 2 model). Correspondingly, the omitted variable would have to be correlated with environmentalism and with greenhouse gas emissions at a level of 0.578 or greater to invalidate our inference (and the correlations would have to take opposite signs). As a basis of comparison, of the control variables, women's political enfranchisement had the strongest impact on the estimated effect of environmentalism on emissions. Women's political enfranchisement was correlated 0.255 with environmental movement strength and -0.476 with emissions for an impact of $0.255 \times (-0.476) = -0.121$ (the negative impact would reduce the negative effect of environmentalism on emissions). Thus, to invalidate our inference of an effect of environmentalism on emissions, an omitted variable would have to have more than 2.5 times stronger impact than our strongest tested covariate, women's political enfranchisement.

Of course, our results are based on the features of states embedded in the US federal system of government and current US politics, so these processes may play out differently elsewhere. As a third check, we can quantify the robustness of our results with respect to populations or policies not represented in our data. Consider a population that is 50% American states and 50% from some other political entity such as European provinces or other administrative divisions, where different causal processes unfold. The correlation between environmentalism and CO₂ emissions would have to be -0.06 in the other entities to invalidate the inference that environmental orientation has an effect on CO₂ emissions in the hypothetical combined data (48). The hypothetical correlation of -0.06 in the other unobserved entities is compared with the estimated correlation of -0.53 between environmental orientation and CO₂ emissions, partitioned for covariates, in our data. Given this formulation, the combined data would have a correlation of -0.3 , which would be at the threshold for statistically significant ($P = 0.05$) given our sample size. Thus, the phenomenon would have to be markedly different between the two regions to invalidate a general inference of an effect across regions. Alternatively, one would have to replace

44% of our data with the null hypothesis cases (no effect of environmentalism on emissions) to invalidate our inference.

Discussion

Our findings replicate those of analyses running back four decades—that population and affluence are dominant drivers of greenhouse gas emissions. For US states, affluence in the form of higher levels of employment, net of GSPPC, also increases emissions. There is also evidence for an environmental Kuznets curve acting over time, with higher levels of affluence ameliorating emissions. Given the population growth that is anticipated over the next decades and the strong consensus in the United States for employment growth, the ameliorating effects of GSPPC alone are not likely to counterbalance the forces pushing for greater emissions. It is possible that the curvilinear relationship of emissions with affluence is the result of emissions being displaced from higher to lower income states so that there is no overall amelioration from economic growth. Population growth also has a between-state effect, suggesting that high levels of population lead to what might be considered “diseconomies of scale”—even worse impacts than the strictly multiplicative effect of population would predict.

All of this suggests that “business as usual” growth in population and affluence will substantially increase anthropogenic environmental stress. However, the effect of environmentalism is a potentially powerful mediating factor. By counteracting the time trend toward increased emissions and by moderating the overall effect of population and affluence, environmentalism seems to have been effective at reducing greenhouse gas emissions below levels that would otherwise have occurred. Thus, even as efforts to establish a national policy to limit emissions have yet to be implemented, at the state level, it appears that a strong and broadly accepted environmental movement does produce a mix of shifts in policy, consumption patterns, and production practices that slows emissions. Our analysis cannot unpack the details of how those effects play out, as they will vary from state to state. Across US states, there is an eclectic mix of policies that influence greenhouse gas emissions. For example, even ostensibly similar policies such as state renewable energy portfolio standards differ in their target levels, their deadlines, and their definitions of renewable. We consider detailed policies and regulations as intervening variables that lie causally between environmentalism and environmental outcomes. Because of the diversity of policy and regulatory details, we do not try to disentangle their effects, a problem best handled by case studies. However, our results do counter the assessment that the environmental movement has been ineffective in dealing with climate change. Of course, the political system and institutional arrangements of the US federal system are quite different from those in most other industrial nations, so our results might not generalize either to the dynamics within another nation or to cross-national differences. Further comparative research is certainly warranted, including research that examines the effect of institutional arrangements on environmental stress within and across nations.

A strong environmental movement can open space in policy systems for advocacy coalitions to influence decision making (19, 21). The result might be a mix of energy efficiency standards or subsidies, renewable portfolio standards, transportation policies, building codes, emissions prices, shifting norms about energy use and greenhouse gas emissions, or any of a variety of other changes. Existing approaches to understanding public and private environmental policy can help elucidate the processes that underpin the dynamics we identify.

Our results emphasize the importance of taking into account political factors in analyzing human stress placed on the environment. Although population and affluence remain strong drivers, factors that may mediate or exacerbate their effects should be

examined. It appears that solutions to environmental problems do not emerge more or less automatically as growth occurs, quite the opposite, it takes a strong movement presence to counteract the effects of growth.

Materials and Methods

Units of Analysis. Using US states as the unit of analysis provides a useful context in which to examine the effects of political power on the environment. The federal system of the United States allows considerable variance in political economy across states, but always in the context of a national economy, national laws, and national political institutions that provides a common playing field for political action across states. For our purposes, this is a clear advantage over cross-national comparisons where very substantial differences in political context, economic structure, and institutions make comparisons more difficult.

Model Structure. Because we are interested in how environmentalism moderates the effects of the scale of the economy in driving environmental impacts, we estimated hierarchical models. At level 1, we model changes within a state from a base year (1990, the first year in our data series) in greenhouse gas emissions as a function of population, GSPPC (in linear and quadratic form), the employment rate, environmentalism, a linear time trend variable, and the interaction between time and environmentalism. At level 2 (the state level), we model the intercept in the level 1 model as a function of population, GSPPC, employment rate, and environmentalism. Including these variables at level 1 and level 2 allows us to estimate effects that differentiated the states at baseline (1990), as well as how each state evolved over time.

Because we have only 50 states, we have added political ideology, women's political power, and unionization one variable at a time to level 2 of the model. None of these variables proved statistically significant (and did not alter our interpretations) so we report the results of these models in Tables S2–S4 rather than in the main text. Although these aspects of the distribution of power in a state may have some effect, it must be indirect, given their lack of significance when environmentalism and scale of the economy are controlled.

Operationalizing the Variable.

Greenhouse gas emissions. The dependent variable, greenhouse gas emissions, was obtained from the Environmental Protection Agency (EPA) (49). They are estimates of the total CO₂ emissions from fossil fuel combustion, which includes commercial, industrial, residential, transportation, and electric power. Data have been prepared annually since 1990 as part of the national greenhouse gas inventory report. The greenhouse gas emissions data are measured in million metric tons of CO₂.

Population and affluence. Population and affluence together indicate the scale of economic activity in a state. Our data are from the US Bureau of Economic Analysis and are in constant 1990 dollars (50, 51). In 1997, there was a shift in how GDP was calculated, and we corrected for this shift (SI Appendix). The employment rate is the complement of the unemployment rate and was obtained from the US Bureau of Labor Statistics (52).

Environmentalism. In research on the influence of political ideology on state policy and politics, the best-established procedure is to use the ideology of the Congressional delegation as a measure of ideological power within the state (53, 54). [A parallel approach has used participation in environmental treaties to estimate the environmental disposition of nation states (55–57).] The logic is that members of Congress are elected by the population of the state, shape, and are shaped by the overall politics of the state and wield power directly in Congress and indirectly through the dynamics of state politics. Therefore, the ideology of members of Congress reflects the strength of ideological positions in multiple ways. Thus, standard measures of state political ideology are based on the ratings of Congressional

voting made by advocacy groups. We followed this approach in using pro-environmental voting by the state's Congressional delegation to assess the strength and acceptance of the environmental movement in the state. The League of Conservation Voters compiles a score, ranging from 0 to 100, for each member of Congress in each Congress based on her or his votes on environmental issues as identified by the League (individual reports cited in SI Appendix) (58). The state averages for their Senate delegation and their House delegation are compiled by the League. Our measure is the average of the House and Senate scores.

Ideology. We construct a composite measure of the strength of conservative vs. liberal ideology using three measures well accepted in the literature on state politics: citizen ideology, legislative ideology, and state spending patterns (53, 54, 59). To enhance reliability, compensate for idiosyncrasies that might influence any single measure, and preserve degrees of freedom, we created an additive composite of these three measures. The resulting measure has $\alpha = 0.616$. Details on construction of the composite are in SI Appendix.

Unionization. Unionization is the percent of all workers who are union members and was obtained from Hirsch and Macpherson (60).

Women's political power. To measure the political power of women, we use the proportion of seats in the state legislature held by women. Data were obtained from the Center for American Women in Politics (61).

Dataset. We use balanced panel data on US states from 1990 to 2007 to estimate the model. We have 15 observations for each state resulting in 750 observations (15 × 50 states). Data availability precludes the inclusion of years before 1990.

Model and Estimation Methods. The model for the greenhouse gas emissions of state s at time t is as follows (all raw variables in log10):

$$\begin{aligned} \text{Green House Gas Emissions}_{st} &= \beta_{0s} + \\ &\beta_{1s} (\text{population} - \text{population at 1990})_{st} + \\ &\beta_{2s} (\text{GSPPC} - \text{GSPPC at 1990})_{st} + \\ &\beta_{3s} (\text{GSPPC} - \text{GSPPC at 1990})^2_{st} + \\ &\beta_{4s} (\text{employment rate} - \text{employment rate at 1990})_{st} + \\ &\beta_{5s} (\text{environmentalism} - \text{environmentalism at 1990})_{st} + \\ &\beta_{6s} (\text{time})_{st} + \\ &e_{st} \\ \beta_{0s} &= \gamma_{00} + \gamma_{01} \text{ population in 1990}_s + \gamma_{02} \text{ GSPPC in 1990}_s + \gamma_{03} \text{ employment} \\ &\text{rate in 1990}_s + \gamma_{04} \text{ environmentalism in 1990}_s + u_{0s} \\ \beta_{1s} &= \gamma_{10} \\ \beta_{2s} &= \gamma_{20} \\ \beta_{3s} &= \gamma_{30} \\ \beta_{4s} &= \gamma_{40} \\ \beta_{5s} &= \gamma_{50} \\ \beta_{6s} &= \gamma_{60} + \gamma_{61} \text{ environmentalism in 1990}_s. \end{aligned}$$

For example, γ_{01} represents the effect of a state's population level in 1990, whereas γ_{10} represents the average value of β_{1s} , representing the effect of the deviation of a state's population level from its average value. The errors at level 1, e_{st} , are assumed normal (0, σ^2), and the u_{0s} are assumed normal (0, τ_{00}).

Note that the time level predictors are deviated from their 1990 values, defining the intercept, β_{0s} , as the emissions in 1990. The level 2 model then predicts emissions in 1990 as a function of attributes of the state in 1990. The model was estimated as a multilevel model using the HLM software (62).

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